



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

March 9, 2021

**ELECTRONIC MAIL
DELIVERY RECEIPT REQUESTED**

Mr. Troy Winthurst
Director of Safety
Dohrn Transfer Company
625 3rd Avenue
Rock Island, Illinois 61201
Troy.Winthurst@dohrn.com

Re: Consent Agreement and Final Order
Dohrn Transfer Company (EPA I.D. No.: ILD049996994)
Docket No: RCRA-05-2021-0016

Dear Mr. Winthurst:

Attached please find a copy of the signed, fully executed Consent Agreement and Final Order (CAFO) in resolution of the above case. The original was filed on March 9, 2021, with the Regional Hearing Clerk (RHC).

Please pay the civil penalty in the amount of \$11,471 in the manner prescribed in paragraph 35 of the CAFO, and reference all checks with the docket number RCRA-05-2021-0016. Your payment is due within 30 calendar days of the effective date of the CAFO. Thank you for your cooperation in resolving this matter.

If you have any questions regarding this matter, please contact Todd Brown, of my staff, at brown.todd@epa.gov or (312) 886-6091.

Sincerely,

MICHAEL
CUNNINGHAM

Digitally signed by
MICHAEL CUNNINGHAM
Date: 2021.03.03
14:19:57 -06'00'

Michael Cunningham, Chief
RCRA Compliance Section 1

Attachment

cc: Paul Eisenbrandt, Illinois EPA (paul.eisenbrandt@illinois.gov)
James Jennings, Illinois EPA (james.m.jennings@illinois.gov)

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5**

In the Matter of:)	Docket No. RCRA-05-2021-0016
)	
Dohrn Transfer Company)	Consent Agreement and Final Order
Rock Island, Illinois)	Under Section 3008(a) of the Resource
)	Conservation and Recovery Act,
U.S. EPA ID No.: ILD049996994)	42 U.S.C. § 6928(a)
)	
Respondent.)	
)	
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Consent Agreement and Final Order

Preliminary Statement

1. This is an administrative action commenced and concluded under Section 3008(a) of the Solid Waste Disposal Act, as amended, also known as the Resource Conservation and Recovery Act (RCRA), 42 U.S.C. § 6928(a), and Sections 22.13(b) and 22.18(b)(2) and (3) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules) as codified at 40 C.F.R. Part 22.
2. The Complainant is the Director of the Enforcement and Compliance Assurance Division, United States Environmental Protection Agency (U.S. EPA), Region 5.
3. Respondent is Dohrn Transfer Company a corporation doing business in the State of Illinois.
4. U.S. EPA provided notice of this action to the State of Illinois pursuant to Section 3008(a)(2) of RCRA, 42 U.S.C. § 6928(a)(2).
5. Where the parties agree to settle one or more causes of action before the filing of a complaint, the administrative action may be commenced and concluded simultaneously by the

issuance of a consent agreement and final order (CAFO). 40 C.F.R. § 22.13(b).

6. The parties agree that settling this action without the filing of a complaint or the adjudication of any issue of fact or law is in their interest and in the public interest.

Jurisdiction and Waiver of Right to Hearing

7. Jurisdiction for this action is conferred upon U.S. EPA by Sections 3006 and 3008 of RCRA, 42 U.S.C. §§ 6926 and 6928.

8. Respondent admits the jurisdictional allegations in this CAFO and neither admits nor denies the factual allegations in this CAFO.

9. Respondent waives its right to request a hearing as provided at 40 C.F.R. § 22.15(c), any right to contest the allegations in this CAFO, and its right to appeal this CAFO.

Statutory and Regulatory Background

10. Pursuant to Sections 3002-3005 of RCRA, 42 U.S.C. §§ 6922-6925, U.S. EPA promulgated regulations, codified at 40 C.F.R. Parts 260 through 279, governing generators and transporters of hazardous waste and facilities that treat, store, and dispose of hazardous waste.

11. Pursuant to Section 3006 of RCRA, 42 U.S.C. § 6926, U.S. EPA may authorize a state to administer the RCRA hazardous waste program in lieu of the federal program when the Administrator finds that the state program meets certain conditions.

12. Any violation of regulations promulgated pursuant to Subtitle C or any state provision authorized pursuant to Section 3006 of RCRA constitutes a violation of RCRA, subject to the assessment of civil penalties and issuance of compliance orders as provided in Section 3008 of RCRA, 42 U.S.C. § 6928.

13. Pursuant to Section 3006(b) of RCRA, 42 U.S.C. § 6926(b), the Administrator of U.S. EPA granted the State of Illinois final authorization to administer a state hazardous waste

program in lieu of the federal government's base RCRA program effective January 31, 1986. 51 Fed. Reg. 3778 (Jan. 30, 1986).

14. Under Section 3008(a) of RCRA, 42 U.S.C. § 6928(a), U.S. EPA may issue an order assessing a civil penalty for any past or current violation, requiring compliance immediately or within a specified period, or both.

Factual Allegations

15. Respondent was and is a "person" as defined by Ill. Admin. Code tit. 35, § 720.110 and Section 1004(15) of RCRA, 42 U.S.C. § 6903(15).

16. Respondent is an "owner" or "operator," as those terms are defined under Ill. Admin. Code tit. 35, § 720.110 of a facility located at 625 Third Avenue, Rock Island, Illinois. (Facility).

17. At all times relevant to this Complaint, Respondent's Facility consisted of land and structures, other appurtenances, and improvements on the land, used for treating, storing, or disposing of hazardous waste.

18. Respondent's Facility is a "facility," as that term is defined under Ill. Admin. Code tit. 35, § 720.110.

19. Respondent's actions and processes at the facility cause the production of "hazardous waste," as that term is defined under Ill. Admin. Code tit. 35, § 721.103.

20. Respondent is a "generator" of hazardous waste, as that term is defined under Ill. Admin. Code tit. 35, § 720.110.

21. In 2017, Respondent generated 1000 kilograms or greater of hazardous waste in some calendar months (qualifying it as a "Large Quantity Generator"), which it shipped off-site to a treatment, storage or disposal facility within the United States.

22. On February 9, 2021, U.S. EPA sent to Respondent a Notice of Potential RCRA Violations and Opportunity for Settlement.

23. The Notice letter identified potential RCRA violations, and an option and timeline for resolution of the matter through a streamlined settlement process.

24. The goal of the streamlined settlement process is to quickly and efficiently assess and resolve the matter, bring the facility into compliance, and enter into an agreed upon CAFO.

25. Thereafter, Respondent engaged with U.S. EPA to expeditiously assess the matter and agree to the entry of this CAFO.

Alleged Violation

Count I: Annual Reporting

26. Complainant incorporates paragraphs 1 through 25 of this CAFO as though set forth in this paragraph.

27. Pursuant to Ill. Admin. Code tit. 35, § 722.141, a generator of more than 1,000 kilograms of hazardous waste must complete and submit an annual report to the State by March 1 of the following year. The annual report must be submitted on a form supplied by the State, and it must cover generator activities during the previous calendar year.

28. In 2018, Respondent did not prepare and submit an annual report to the Illinois EPA by March 1 for the preceding calendar year, in violation of Ill. Admin. Code tit. 35, § 722.141.

Compliance Order

29. Pursuant to Section 3008(a)(3) of RCRA, 42 U.S.C. § 6928(a)(3), Respondent is hereby ordered to comply with the following requirements as expeditiously as possible and no later than 30 days from the effective date of this CAFO.

30. Respondent shall file with Illinois an Annual Hazardous Waste Report covering the

year 2017.

31. Respondent shall submit the following certification to U.S. EPA that it has complied with the requirements in paragraphs 29 and 30, above:

I certify under the penalty of law that based on my review of all relevant information and documents, and inquiring of those individuals immediately responsible for providing all relevant information and documents, Dohrn Transfer Company is in compliance with the requirements of this Compliance Order. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

Date 2/23/21 Signature and Title Jay C. Dol, Pres.

32. If unable to certify compliance in paragraph 31, Respondent shall submit notification explaining why it is unable to comply, the actions it is taking to comply, and a proposed date that it will comply.

33. Respondent shall submit all certifications and notifications required under the Compliance Order to:

Land Enforcement and Compliance Assurance Branch
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
r5lecab@epa.gov

And

Todd Brown
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
brown.todd@epa.gov

Civil Penalty Order

34. Pursuant to Section 3008(a)(3) of RCRA, 42 U.S.C. § 6928(a)(3), Complainant determined that an appropriate civil penalty to settle this action is \$11,471. In determining the penalty amount, Complainant took into account the above Factual Allegations, the seriousness of

the violations, any good faith efforts to comply with the applicable requirements, and other factors as justice may require. Complainant also considered U.S. EPA's RCRA Civil Penalty Policy, dated June 23, 2003.

35. Within 30 days after the effective date of this CAFO, Respondent must pay a \$11,471 civil penalty for the RCRA violations by:

For checks sent by regular U.S. Postal Service mail, sending a cashier's or certified check, payable to "Treasurer, United States of America," to:

U.S. EPA
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, Missouri 63197-9000

The check must state Respondent's name and the docket number of this CAFO.

For checks sent by express mail, sending a cashier's or certified check, payable to "Treasurer, United States of America," to:

U.S. Bank
Government Lockbox 979077
U.S. EPA Fines and Penalties
1005 Convention Plaza
Mail Station SL-MO-C2-GL
St. Louis, Missouri 63101

The check must state Respondent's name and the docket number of this CAFO.

For electronic funds transfer, sending funds electronically, payable to "Treasurer, United States of America," and to:

Federal Reserve Bank of New York
ABA No. 021030004
Account No. 68010727
33 Liberty Street
New York, New York 10045
Field Tag 4200 of the Fedwire message should read:
“D 68010727 Environmental Protection Agency”

In the comment or description field of the electronic funds transfer, state Respondent’s name and the docket number of this CAFO.

36. Respondent must send a notice of payment that states Respondent’s name and the case docket number to EPA at the following addresses when it pays the penalty:

Regional Hearing Clerk (E-19J)
U.S. EPA, Region 5
77 West Jackson Boulevard
Chicago, Illinois 60604
Or via email at whitehead.ladawn@epa.gov

Land Enforcement and Compliance Assurance Branch
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
r5lecab@epa.gov

Todd Brown
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
brown.todd@ep.gov

Adam Mittermaier
Office of Regional Counsel
U.S. EPA, Region 5
mittermaier.adam@epa.gov

37. This civil penalty is not deductible for federal tax purposes.

38. If Respondent does not timely pay the civil penalty, U.S. EPA may bring an action to collect any unpaid portion of the penalty with interest, handling charges, nonpayment penalties, and the United States enforcement expenses for the collection action. The validity, amount, and appropriateness of the civil penalty are not reviewable in a collection action.

39. Pursuant to 31 C.F.R. § 901.9, Respondent must pay the following on any amount overdue under this CAFO. Interest will accrue on any amount overdue from the date payment was due at a rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717(a)(1). Respondent must pay a \$15 handling charge each month that any portion of the penalty is more than 30 days past due. In addition, Respondent must pay a 6 percent per year penalty on any principal amount 90 days past due.

General Provisions

40. Respondent certifies that it is complying fully with the statutory and regulatory provisions alleged violated in this CAFO.

41. The parties consent to service of this CAFO by e-mail at the following valid e-mail addresses: mittermaier.adam@epa.gov (for Complainant), and Troy.Winthurst@dohrn.com (for Respondent).

42. This CAFO resolves only Respondent's liability for federal civil penalties for the violations alleged in the CAFO.

43. This CAFO does not affect the right of U.S. EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law.

44. Payment of the civil penalty does not affect Respondent's continuing obligation to comply with RCRA and other applicable federal, state, and local laws or permits.

45. This CAFO is a "final order" for purposes of 40 C.F.R. § 22.31, U.S. EPA's RCRA Civil Penalty Policy, and U.S. EPA's Hazardous Waste Civil Enforcement Response Policy (December 2003).

46. The terms of this CAFO bind Respondent, its successors, and assigns.

47. Each person signing this agreement certifies that he or she has the authority to sign

for the party whom he or she represents and to bind that party to its terms.

48. Each party agrees to bear its own costs and attorney's fees in this action.

49. This CAFO constitutes the entire agreement between the parties.

Dohrn Transfer Company, Respondent

2/23/21
Date



Gary Dohrn
President
Dohrn Transfer Company

United States Environmental Protection Agency, Complainant

Date

MICHAEL HARRIS Digitally signed by MICHAEL HARRIS
Date: 2021.03.08 12:55:42 -06'00'

Michael D. Harris
Division Director
Enforcement and Compliance Assurance
Division

In the Matter of:
Dohrn Transfer Company
Docket No. RCRA-05-2021-0016

Final Order

This Consent Agreement and Final Order, as agreed to by the parties, shall become effective immediately upon filing with the Regional Hearing Clerk. This Final Order concludes this proceeding pursuant to 40 C.F.R. §§ 22.18 and 22.31. IT IS SO ORDERED.

Date

ANN COYLE

Digitally signed by ANN
COYLE
Date: 2021.03.09
10:05:55 -06'00'

Ann L. Coyle
Regional Judicial Officer
United States Environmental Protection Agency
Region 5

CONSENT AGREEMENT AND FINAL ORDER
In the matter of Dohrn Transfer Company, Rock Island, Illinois.
Docket Number: RCRA-05-2021-0016

CERTIFICATE OF SERVICE

I certify that I served a true and correct copy of the foregoing **Consent Agreement and Final Order**, docket number RCRA-05-2021-0016, which was filed on March 9, 2021 in the following manner to the following addresses:

Copy by E-mail to
Respondent:

Troy Winthurst
Troy.Winthurst@dohrn.com

Copy by E-mail to
Attorney for Complainant:

Adam Mittermaier
Mittermaier.Adam@epa.gov

Copy by E-mail to
RCRA CS1 Contact:

Todd Brown
brown.todd@epa.gov

Copy by E-mail to
Regional Judicial Officer:

Ann Coyle
coyle.ann@epa.gov

Dated: _____

LaDawn Whitehead
Regional Hearing Clerk
U.S. Environmental Protection Agency
Region 5